

# Austin Independent School District

## Finance Department



Patrick Krishock – Treasurer  
1111 West 6<sup>th</sup> Street, Suite A-380  
Austin, Texas 78703  
Phone: (512) 414-2211 Fax: (512) 414-1515

### Independent Registered Municipal Advisor Certificate

**September 1, 2017**

The Austin Independent School District has retained an independent registered municipal advisor. The Austin Independent School District is represented by, and will rely upon its municipal advisor, **First Southwest, A Division of Hilltop Securities**, to provide advice on proposals from financial services firms concerning the issuance of municipal securities and municipal financial products. The individual with primary responsibility for advising the Austin Independent School District on such matters is Chris W. Allen. This certificate may be relied upon until August 31, 2018, or otherwise withdrawn by us.

Proposal review by the Municipal Advisor will be at the direction of the Austin Independent School District and is subject to the professional judgment of First Southwest. The Austin Independent School District, in its sole discretion, may choose which proposals will be reviewed, and may also choose to request the Municipal Advisor to review or not review particular proposals. By submitting a proposal, a financial services firm acknowledges it has no recourse against the Austin Independent School District or First Southwest Company related to any action or inaction with respect to evaluating, commenting on, or responding to proposals received.

At the direction of the Austin Independent School District, proposals shall be provided to the Municipal Advisor in a searchable PDF format.

By publicly posting the following written disclosure, the Austin Independent School District intends that market participants receive and use it for purposes of the independent registered municipal advisor exemption to the SEC Municipal Advisor Rule.

Questions concerning this certificate can be directed to Patrick Krishock at 512-414-2211, or [patrick.krishock@austinisd.org](mailto:patrick.krishock@austinisd.org).